

# National Intellectual Property Awards 2013

## 1. Objective

The objective of conferring the National Intellectual Property (IP) Awards is to recognize and reward creators/innovators of IP who have contributed to harnessing the country's intellectual capital and creating an eco-system that boosts creativity and innovation. The awards will be presented to the awardees at an event in Delhi, organized by the Intellectual Property Office (IPO) in collaboration with the Confederation of Indian Industry (CII).

## 2. Categories of IP Awards (Patents, Trademarks & Design)

The awards are intended to encourage the innovation and management of intellectual property portfolio in such a manner that it influences the success of a business, whether large or small, in different industry segments. This year, following are the eight awards categories:

- i. Top Indian Academic institution in Patent.
- ii. Top R & D institution in Patent.
- iii. Top Indian Public Limited Company / Private Limited Company / Indian subsidiary of a transnational corporation in Patent, for research and development conducted in India and subsequent first filing in India for the said developed invention.
- iv. Top Indian Private Company (MSME) in Patent.
- v. Top Individual in Patent.
- vi. Top Organization in Trade Mark.
- vii. Top Organization in Design.
- viii. Top Individual in Design.

Each award will carry a cash prize of Rs. 1,00,000/- and a citation.

**Note:** The awardees of the National Intellectual Property Awards 2011 & 2012 are not eligible for this award.

## 3. Broad parameters for selection

These awards will not be given solely on the basis of number of Intellectual Property Rights registered/granted. The utilisation of such IPRs as a strategic tool in commerce & industry, along with their contribution to the society at large, will be taken into consideration. For the purpose of National IP Awards 2013, the performance in the last 5 years i.e. FY 2007-08 to 2011-12 will be taken into consideration. The following parameters will be considered as relevant:

- 3.1 Number of IPRs granted / registered.
- 3.2 Growth in IPR portfolio in the last five years.
- 3.3 Leveraging of IPRs for achieving commercial goals (valuation, licensing, launching of new products / processes associated with granted / registered IPRs).
- 3.4 Efforts for inculcating IPR culture (R&D budget, employment of human resources for R&D, collaborations with industries and universities, licensing from other sources etc.)
- 3.5 Contribution towards humanity at large and towards the socio-economic development of the country.

## 4. Eligibility

Indian industry (micro, small, medium, large), both private and public sector, as well as firms and societies, registered in India; Indian subsidiary of a transnational corporation; Indian Academic and R&D institutions; and individuals who have IPRs granted / registered by the Indian Intellectual Property Office, during the last five years financial years are eligible for applying for this award.

## 5. Jury

Awardees will be selected by a jury comprising professionals from diverse fields such as:

- i. IP professionals.

- ii. Industrialists and Industry Association.
- iii. Academia.
- iv. R&D professionals.
- v. Representative(s) of the Government of India.

## 6. How to Apply

Eligible applicants may file the Application in Annexure 1 along with Annexure(s) 2/3/4 (as applicable). Application along with the applicable Annexures and supporting documents, complete in all respects, is required to be sent to the following address so as to reach on or before 28<sup>th</sup> February 2013:

“Office of the Controller General of Patents, Designs and Trademarks  
Boudhik Sampada Bhawan  
S M Road, Antop Hill, Mumbai-400037.”

### Note:

1. The application and the relevant annexures available on the website [www.ipindia.nic.in](http://www.ipindia.nic.in) should be used by the applicants for the purpose of applying.
2. Soft copy of the application and the relevant annexures (in .doc or .docx format) should be sent along with all supporting documents (in .pdf format) to [cgooffice-mh@nic.in](mailto:cgooffice-mh@nic.in). This should necessarily be followed by submission of physical copies at the aforementioned address.
3. It is clarified that the application (with annexures) is required to be submitted both in electronic and in physical format before the last date, as elaborated above, and failure to comply with this condition will result in rejection of the application.
4. The envelopes must be subscribed “National IP Awards 2013” and e-mail sent to the above mentioned e-mail ID must contain “National IP Awards 2013” in the subject line.
5. Applications incomplete in any respect or received after the last date will not be considered.

## Application for National IP Awards 2013

1. Category of Applicant (please “✓”)

Institutions		Industry		Individual
Academic Institution	R & D Institution	Indian Public Limited Company / Private Limited Company / Firm / Society / Indian subsidiary of a transnational corporation	MSME	

2. Category of IP for which application is made (please “✓”)

Patent	Trademark	Design

3. Mention the sector (chemical, mechanical, drugs, food, electrical, computer hardware, computer software, biotechnology, or any other):

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4. Applicant Details

<b>Name of Organization</b>	
<b>Postal address</b>	
<b>Website</b>	
<b>Name of the contact person</b>	
<b>Designation of the contact person</b>	
<b>Telephone no. (landline and mobile) of contact person</b>	
<b>Email id:</b>	

5. What is the value of IPR (patents / trademarks / designs) shown in your balance sheets of the last five years, if applicable? Please also indicate the value as a percentage of the fixed assets. Combined value of all IPRs may also be given.

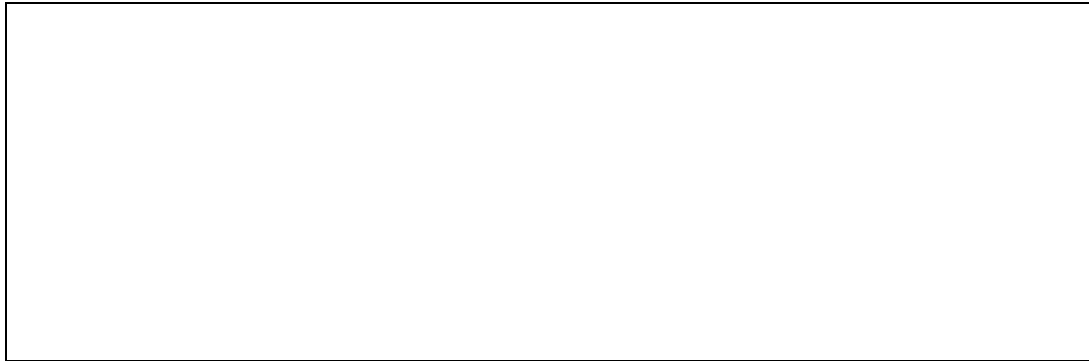
	2011-12	2010-11	2009-10	2008-09	2007-08
Value of IPRs (Rs.)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Percentage of fixed assets	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**6. Do you have an IP Department or IPR Policy in place? Do you have a system of auditing your IP assets from time to time? A brief write up is needed. (Enclose the copy of IP policy, if any)**

**7. Do you have any system for finding out if your IPRs are being infringed and taking steps to protect the same? A success story may be provided.**

**8. Do you have a policy of licensing-in IPRs from other sources? If yes, please provide a short write up.**

**9. How have the IPRs owned by you contributed towards the betterment of humanity and/or socio-economic development of the country?**



**Certified that the information submitted herein is true to the best of my knowledge and belief.**

**(Signatures)  
(Name & designation of the  
Applicant /Authorised signatory)**

**NOTE: The applicants are required to provide a proof of their eligibility with respect to the category under which they have applied e.g. certificate of registration etc.**

### Application for Patent Award

**A. Number of Patent Applications filed, granted and in market (in India) in the last 5 years**

	2011-12	2010-11	2009-10	2008-09	2007-08
<b>First filed in India</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Filed through National Phase / Convention route</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Granted</b> (where applications were first filed in India)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Granted</b> (where applications entered India through National Phase / Convention route)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Number of patents commercialised in India</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Note:** Copies of the patent certificates of registration are required to be submitted, along with this Annexure, of all the granted patents being claimed by the Applicant for the purpose of this para.

**B. Number of PCT/Convention Applications filed in Foreign Countries, granted and commercialized outside India in the last 5 years (names of countries should be specified)**

	2011-12	2010-11	2009-10	2008-09	2007-08
<b>Filed</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Granted</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Countries in which granted</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Number of patents commercialised</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**C. Details of Patents licensed out to third party in the last five years?**

<b>i. Number of patents licensed</b>	
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<b>ii. Details of patents licensed</b>			
<b>S.No.</b>	<b>Patent No.</b>	<b>Licensed to</b>	<b>Manner of commercialization</b>

**D. Number of new products / processes launched during the last five years based on patents granted to you.**

<b>2011-12</b>	<b>2010-11</b>	<b>2009-10</b>	<b>2008-09</b>	<b>2007-08</b>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**E. Do you have any collaborative R&D arrangements with Indian companies / foreign companies? Please indicate the number of such collaborations undertaken in the last 5 years along with the names of collaborators.**

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**F. Do you have on-going research programs with any university or research laboratory in India? If yes, provide a list of such programs.**

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**G. Year-wise R&D budget in India in the last five years. Please also give the number of R & D Scientists.**

	<b>2011-12</b>	<b>2010-11</b>	<b>2009-10</b>	<b>2008-09</b>	<b>2007-08</b>
<b>R &amp; D Expenditure in India (Rs.)</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>R &amp; D Expenditure in India as percentage of total expenditure in India</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Number of R &amp; D Scientists in India</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Certified that the information submitted herein is true to the best of my knowledge and belief.**

**(Signatures)  
(Name & designation of the  
Applicant /Authorised signatory)**



**Application for Trademark Award****A) Number of trademarks filed & registered (in India) in the last 5 years**

	2011-12	2010-11	2009-10	2008-09	2007-08
<b>Filed</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Registered</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>In the market</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Note:** Registration numbers of all the registered marks should be submitted by the Applicant, along with this Annexure, for the purpose of this para.

**B) Number of trademark applications filed and registered outside India in the last 5 years**

	2011-12	2010-11	2009-10	2008-09	2007-08
<b>Filed</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Registered</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Countries in which registered</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>No. of trademarks in the market</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**C) Details of trademarks licensed out in the last five years?**

<b>i. Number of trademarks licensed out</b>	<input type="text"/>
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<b>ii. Details of trademarks licensed</b>		
<b>S.No.</b>	<b>Trademark No.</b>	<b>Licensed to</b>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**D) Number of new products / services launched during the last five years based on your registered marks.**

<b>2011-12</b>	<b>2010-11</b>	<b>2009-10</b>	<b>2008-09</b>	<b>2007-08</b>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Certified that the information submitted herein is true to the best of my knowledge and belief.**

**(Signatures)  
(Name & designation of the  
Applicant /Authorised signatory)**

### Application for Design Award

**A. Number of designs filed & registered (in India) in the last 5 years**

	2011-12	2010-11	2009-10	2008-09	2007-08
<b>Filed</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Registered</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Commercialised in India</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Note:** A copy of the certificate of registration is required to be submitted, along with this Annexure, of all the registered designs being claimed by the Applicant for the purpose of this para.

**B. Number of Design applications filed & granted outside India in the last 5 years (names of countries should be specified)**

	2011-12	2010-11	2009-10	2008-09	2007-08
<b>Filed</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Registered</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Countries in which registered</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>In the market</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**C. Details of Design you have licensed out to any third party in the last five years?**

<b>i. Number of Designs licensed out</b>	<input type="text"/>
<b>ii. Number of Designs in the market (among the licensed out designs)</b>	<input type="text"/>

<b>iii. Details of designs licensed out</b>			
S.No.	Registered design number	design	Licensed to
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**D. Number of new articles launched during the last five years based on Designs registered.**

<b>2011-12</b>	<b>2010-11</b>	<b>2009-10</b>	<b>2008-09</b>	<b>2007-08</b>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Certified that the information submitted herein is true to the best of my knowledge and belief.**

**(Signatures)  
(Name & designation of the  
Applicant /Authorised signatory)**